

Rec'd PCT/PTO 24 MAY 2002
10/031208

U.S. PATENT & TRADEMARK OFFICE[®]

Applicant(s): Bonnie R. Hames)
)
International (PCT) Application No.:)
PCT/US01/11806)
)
Serial No.: 10/031,208)
)
Filed: April 10, 2001)
)
Title: Phenol Removal Pretreatment) Atty. Dkt. No.: NREL 98-21
Process)

STATEMENT TO REVIVE ABANDONED APPLICATION

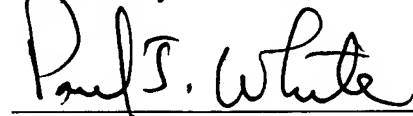
Assistant Commissioner for Patents
Box PCT
Washington, DC 20231

Attn: PCT Legal Staff

Applicants filed the above-identified application to the United States Designated Office Under 35 U.S.C. 1.371 on January 11, 2002. However, Applicants erroneously typed the wrong deposit account number on the Transmittal Letter form. Applicants were unaware of the error until it was brought to their attention by the U.S. Patent Office in another application. The Deposit Account Number 14-1460 listed on the second page of the Transmittal Letter form was incorrect and should have read 14-0460. Applicants state that the error was done unintentionally and hopes that the Petition to Revive of the International Application will be granted.

Dated: May 10 2002.

Respectfully submitted,



Paul J. White, Reg. No. 30,346
Attorney for Applicants

National Renewable Energy Laboratory
1617 Cole Blvd.
Golden, CO 80401
303/384-7575

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN INTERNATIONAL APPLICATION FOR PATENT DESIGNATING THE U.S. ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)		Docket Number (Optional) NREL 98-21
<p>First named inventor: Bonnie R. Hames</p> <p>International (PCT) Application No.: PCT/US01/11806</p> <p>U.S. Application No. (if known) 10/031,208</p> <p>Filed: April 10, 2001</p> <p>Title: PHENOL REMOVAL PRETREATMENT PROCESS</p> <p>Attention: PCT Legal Staff Box PCT Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>The above-identified application became abandoned as to the United States because the fees and documents required by 35 U.S.C. 371(c) were not filed prior to the expiration of the time set in 37 CFR 1.494(b) or (c) or 1.495(b) or (c) as applicable. The date of abandonment is the day after the date on which the 35 U.S.C. 371(c) requirements were due. See 37 CFR 1.494(g) or 1.495(h).</p> <p style="text-align: center;">APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION</p> <p>NOTE: A grantable petition requires the following items:</p> <ol style="list-style-type: none"> (1) Petition fee (2) Proper reply (3) Terminal disclaimer with disclaimer fee--required for all international applications having an international filing date before June 8, 1995; and (4) Statement that the entire delay was unintentional. <p>1. Petition fee</p> <p><input checked="" type="checkbox"/> Small entity - fee \$ 640.00 (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Other that small entity - fee \$ _____ (37 CFR 1.17(m))</p> <p>2. Proper reply</p> <p>A. The proper reply (the missing 35 U.S.C. 371(c) requirement(s) in the form of <u>Statement to Revive Abandoned Application</u> (identify type of reply):</p> <p><input type="checkbox"/> has been filed previously on _____</p> <p><input checked="" type="checkbox"/> is enclosed herewith.</p>		

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3. Terminal disclaimer with disclaimer fee

- ☐ Since this international application has an international filing date on or after June 8, 1995, no terminal disclaimer is required.
- ☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$_____ for a small entity or \$_____ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. Statement. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

May 10, 2002
Date

Telephone
Number: (303) 843-7575

Paul J. White
Signature

Paul J. White

Typed or printed name

National Renewable Energy Laboratory

Address

1617 Cole Blvd.
Golden, CO 80401

- Enclosures: ☐ Response
☒ Fee Payment
☐ Terminal Disclaimer Form
☐ _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bonnie R. Hames

Serial No.: 10/031,208

PCT SN: PCT/01/11806

Filing Date: April 10, 2001

Title: Phenol Removal Pretreatment Process

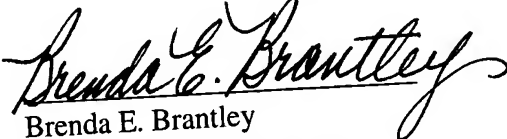
)
) Art Unit: Not Yet Accorded
)
) Examiner: Not Yet Accorded
)
)
)
) Atty. Dkt. No.: NREL 98-21

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that the following attached items:

- (1) Fee Transmittal
- (2) Petition for Revival of an International Application for Patent
Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(b)
[2 pages]
- (3) Statement to Revive Abandoned Application
- (4) Postcard receipt

are being deposited in the United States Postal Service as first class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, DC. 20231 on this 10th day of May 2002.


Brenda E. Brantley
Senior Patent Administrator

National Renewable Energy Laboratory
1617 Cole Boulevard
Golden, CO 80401
303/384-7572
303/384-4799 (fax)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 640.00)

Complete if Known

Application Number	10/031,208
Filing Date	Not Yet Accorded
First Named Inventor	Bonnie R. Hames
Examiner Name	Not Yet Accorded
Group / Art Unit	Not Yet Accorded
Attorney Docket No.	NREL 89-21

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 14-0460
Deposit Account Name: National Renewable Energy Lab.

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790 201 395		Utility filing fee	
106 330 206 165		Design filing fee	
107 540 207 270		Plant filing fee	
108 790 208 395		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**		X \$ 9	
Independent Claims	3**	X \$42	
Multiple Dependent			

*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 22 203 11		Claims in excess of 20
102 82 202 41		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 82 209 41**		Reissue independent claims over original patent
110 22 210 11**		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 115 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 950 217 475		Extension for reply within third month	
118 1,510 218 755		Extension for reply within fourth month	
128 2,060 228 1,030		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to reive - unavoidable	
141 1,320 241 660		Petition to reive - unintentional	\$640.00
142 1,320 242 660		Utility issue fee (or reissue)	
143 450 243 225		Design issue fee	
144 670 244 335		Plant issue too	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 790 246 395		Filing a submission after final rejection (37 CFR 1.129(a))	
149 790 249 395		For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$ 640.00)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name: Pau J. White, Senior Patent Counsel

Signature: Pau J. White

Date: 05/10/02

Complete (if applicable)

Reg. Number: 30,436

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.